

TRANSMITTAL LETTER			Case No. 3716/155
Serial No. 10/659,688	Filing Date September 9, 2003	Examiner N/A	Group Art Unit N/A
Inventor(s) Amy M. Seibert et al.			
Title of Invention Finger Puppets with Sound			

TO THE COMMISSIONER FOR PATENTS

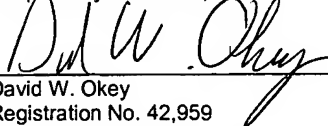
Transmitted herewith is Information Disclosure Statement; Form PTO-1449; and return post card.

- ☐ Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.
- ☐ Applicant claims small entity status. See 37 CFR 1.27.
- ☐ Petition for a _____ month extension of time.
- ☒ No additional fee is required.
- ☐ The fee has been calculated as shown below:

					Small Entity		or	Other Than Small Entity	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee		Rate	Add'l Fee
Total		Minus			x \$9=			x \$18=	
Indep.		Minus			x 43=			x \$86=	
First Presentation of Multiple Dep. Claim					+\$145=			+ \$290=	
					Total add'l fee	\$		Total add'l fee	\$

- ☐ Please charge Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE) in the amount of \$_____. A duplicate copy of this sheet is enclosed.
- ☐ A check in the amount of \$_____ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
- ☒ I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

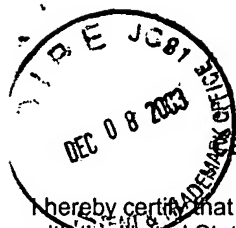

David W. Okey
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Attorney for Applicant
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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to:
Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on Dec 5, 2003

Date: Dec 5, 2003

Signature: 



CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope, with sufficient postage, addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

Dec 5, 2003

Date of Deposit

David W. Okey, Reg. No. 42,959

Name of Applicant, Assignee or
Registered Representative

David W. Okey

Signature

Dec 5, 2003

Date of Signature

Our Case No.: 3716/155

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Amy M. Seibert et al.

Serial No.: 10/659,688

Filing Date: September 9, 2003

For: Finger Puppets with Sound

Examiner: N/A

Group Art Unit No.: N/A

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Alexandria, VA 22313-1450

Dear Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the documents listed below and on the attached Form PTO-1449 be considered by the Examiner and made of record. Copies of the listed documents required by 37 C.F.R. § 1.98(a)(2) are enclosed for the convenience of the Examiner.

The references now cited are the following:

U.S. Patents

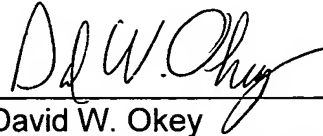
Document No.	Date	Inventor
Des. 366,297	01/16/1996	Ford
4,173,842	11/13/1979	Bahner
4,280,292	07/28/1981	Hills
4,976,646	12/11/1990	Hull
5,342,234	08/30/1994	Bloom
5,498,189	03/12/1996	Townsend
5,540,132	07/30/1996	Hale
5,558,550	09/24/1996	Setteducati
5,848,928	12/15/1998	Wong
6,010,387	01/04/2000	Nemec et al.
6,057,501	05/02/2000	Hale
6,155,836	12/05/2000	Hancock
6,350,169 B1	02/26/2002	Holt
6,394,874 B1	05/28/2002	Kubo et al.
6,461,164 B1	10/08/2002	Ramelli
6,540,581 B2	04/01/2003	Kennedy
2003/0162471 A1	08/28/2003	Gemma, Jr.
2003/0186198 A1	10/02/2003	Cubeta et al.

In accordance with 37 C.F.R. § 1.97(g),(h), this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

This Information Disclosure Statement is being filed prior to the receipt of the first Official Action reflecting an examination on the merits and hence is believed to be timely filed in accordance with 37 C.F.R. § 1.97(b).

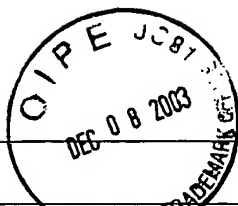
Applicant(s) respectfully request that the listed documents be made of record in the present case.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "D. W. Okey", is written over a horizontal line.

David W. Okey
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Attorney for Applicant(s)

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FORM PTO-1449	SERIAL NO. 10/659,688	CASE NO. 3716/155
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	FILING DATE September 9, 2003	GROUP ART UNIT N/A
(use several sheets if necessary)	APPLICANT(S): Amy M. Seibert et al.	

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	A1	Des. 366,297	01/16/1996	Ford		
	A2	4,173,842	11/13/1979	Bahner		
	A3	4,280,292	07/28/1981	Hills		
	A4	4,976,646	12/11/1990	Hull		
	A5	5,342,234	08/30/1994	Bloom		
	A6	5,498,189	03/12/1996	Townsend		
	A7	5,540,132	07/30/1996	Hale		
	A8	5,558,550	09/24/1996	Setteducati		
	A9	5,848,928	12/15/1998	Wong		
	A10	6,010,387	01/04/2000	Nemec et al.		
	A11	6,057,501	05/02/2000	Hale		
	A12	6,155,836	12/05/2000	Hancock		
	A13	6,350,169 B1	02/26/2002	Holt		
	A14	6,394,874 B1	05/28/2002	Kubo et al.		
	A15	6,461,164 B1	10/08/2002	Ramelli		
	A16	6,540,581 B2	04/01/2003	Kennedy		
	A17	2003/0162471 A1	08/28/2003	Gemma, Jr.		
	A18	2003/0186198 A1	10/02/2003	Cubeta et al.		

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.